BUDGET PRESENTATION / DISCUSSION

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BUDGET BASICS

New York State Fiscal Year: April 1 – March 31 (3 month lapse period 4/1 – 6/30)

SUNY Fiscal Year: July 1 – June 30 (3 month lapse period 7/1 – 9/30)

Agency Prep and Budget Request – required by NYS Constitution, Budget Director “Call Letter” (this is SUNY, not UAlbany).

Division of Budget (DOB) develops budget recommendations for Governor. Governor submits balanced Executive Budget to legislature in January. Following a 21 day amendment period, Legislature negotiates changes to budget. Legislature can strike an appropriation, reduce an appropriation or add new items. Agreement by Senate and Assembly results in the Enacted Budget. Governor can veto adds made by Legislature. Appropriation Bills (Bill Copy) prepared by DOB and signed by Governor do NOT reflect appropriation made to campus, although outlined. SUNY uses
ALL FUNDS BUDGET

SUNY uses **Resource Allocation Model** to distribute state support to campuses. DOB develops financial plan and releases funding to agencies through a Certificate of Allocation, or *“Cert”*.

**Tuition** – approved by Board of Trustees BUT must be **appropriated** by Legislature.

**State Aid and Tuition = State Budget** - requires **appropriation authority** to spend.

**Appropriated Funds:**
- Require spending authorization. All transactions must be processed through the Office of the State Comptroller (OSC) including allocation, revenue, and expenditure transfers; purchasing; contracting; and payroll.
- Purchasing must comply with State regulations. Contracts must be approved by Attorney General (AG) and OSC.
- Collective bargaining contracts are negotiated by the Governor’s Office of Employee Relations (GOER) and require approval by Legislature.
BUDGET BASICS

OTHER Appropriated Funds:
- Residence Hall Income (DIFR)
- Income Fund Reimbursable (IFR)
- Special Appropriations – legislative / member items

Non-appropriated funds in campus budget
- University at Albany Foundation (UAF) .................................................501c3 not-for-profit
- University Auxiliary Services (UAS) ......................................................501c3 not-for-profit
- Research Foundation (RF) - Direct Grants and Contracts..501c3 not-for-profit
- RF Indirect Cost Recovery (ICR / F&A)
$62M State
$107M Tuition
$51M DIFR
$42M CFP IFR
$17M ICR
$11M Special State
$60M Dept IFR
$11M UAF
$6M UABDC
$32M UAS
$73M RF Grants
$474M Total
ALL FUNDS

APPROPRIATED & NON APPROPRIATED

<table>
<thead>
<tr>
<th>Source</th>
<th>Amount</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Tax Support</td>
<td>$62M</td>
<td>13%</td>
</tr>
<tr>
<td>Tuition</td>
<td>$107M</td>
<td>23%</td>
</tr>
<tr>
<td>DIFR</td>
<td>$51M</td>
<td>11%</td>
</tr>
<tr>
<td>FP IFR</td>
<td>$42M</td>
<td>9%</td>
</tr>
<tr>
<td>ICR</td>
<td>$17M</td>
<td>4%</td>
</tr>
<tr>
<td>Special State IFR</td>
<td>$11M</td>
<td>2%</td>
</tr>
<tr>
<td>Dept IFR</td>
<td>$79M</td>
<td>2%</td>
</tr>
<tr>
<td>Subtotal (State)</td>
<td>$351M</td>
<td>74%</td>
</tr>
<tr>
<td>UAF</td>
<td>$11M</td>
<td>2%</td>
</tr>
<tr>
<td>UABDC</td>
<td>$6M</td>
<td>1%</td>
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<tr>
<td>UAS</td>
<td>$32M</td>
<td>7%</td>
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<tr>
<td>RF G&amp;C</td>
<td>$73M</td>
<td>13%</td>
</tr>
<tr>
<td>Subtotal (UAF)</td>
<td>$122M</td>
<td>26%</td>
</tr>
<tr>
<td>Grand Total</td>
<td>$474M</td>
<td>100%</td>
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</table>
RESOURCES
$62M State
$107M Tuition
$51M DIFR
$42M CFP IFR
$17M ICR
$11M Special
$60M Dept IFR
$351M Total

COMMITMENTS
$185M Sal & FB
$15M GSS
$17M Utilities
$53M S&E
$8M UGS
$3M TAP Aid
$5M Lib Acq
$5M Rental
$15M DS/RH
$2M RoomWvrs
$4M Sys. Assess
$311M Total
Salaries & Fringe Benefits 83%

State Support $61.8M
Tuition $107.8M
TOTAL $169.6M

- Salaries & Fringe Benefits 83%
- Graduate Student Support 4%
- Utilities 4%
- Supplies, Travel, Eq 4%
- Undergraduate Scholarship
- Tap Aid
- Library Acquisitions
- Faculty startup
- Contingency
UNIVERSITY AT ALBANY
State University of New York

STATE & TUITION FUNDS
APPROPRIATED

$61.8M State Support
$107.8M Tuition
$169.6M TOTAL

President 1%
Academic Affairs 64%
Athletics 3%
Development & Alumni Affairs 2%
Finance & Business 10%
Information Technology 4%
Communications & Marketing 1%
Research 2%
Student Success 2%
CNSE 4%
Utilities 4%
Other 3%

State Tax Support 13%
Tuition 23%